

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)**BOX PCT****COMPLETION**
For PCT Cases OnlyIn re PATENT APPLICATION of

Inventor(s): Stevens et al.

Appln. No.: 10 069,018Series Code \uparrow Serial No. \uparrow

Atty. Dkt.

P

0290641

M#

Client Ref

National Phase

Based on PCT GB00 03210 \uparrow Country Code & Year

Title: Substituted 2-Arylbenzazole Compounds

Date: July 29, 2002

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE

Asst. Commissioner of Patents

Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ **Signed Declaration** ☒ Original ☐ Facsimile/Copy ☐ with spec/claims attached
3. ☐ **Translation** of the International Application into English including:
 - a. ☐ Request;
 - b. ☐ Abstract
 - c. ☐ pgs. Spec. and Claims;
 - d. ☐ Translation verification
 - e. ☐ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☒ Copy of **International Search Report (ISR)** attached (2 page(s))
 - a. ☐ plus Annex of family members (page(s))
5. **Information Disclosure Statement** including
 - a. ☒ From PTO-1449 listing documents
 - b. ☒ Copies of document(s) listed on Form PTO-1449
 - c. ☒ A concise explanation of ISR references is given in the ISR
6. ☒ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings: sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))

11. ☐ Attached:
12. ☐ Preliminary Amendment:
13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:
14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item
☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 25
15. **CLAIMS FEES** ☒ previously paid ☐ paid herewith as follows:
- 15A. Small Entity Statement ☐ Herewith ☒ Previously Filed

			Large/Small Entity		Fee Code
16. Total Effective Claims		minus 20 =	x \$18/\$9	+0	966/967
17. Independent Claims		minus 3 =	x \$84/\$42	+0	964/965
18. If <u>any proper</u> multiple dependent claim (ignore improper) is present,			\$280/\$140	+0	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now			\$130/\$65	+65	154/254
20. SUBTOTAL			\$65		
21. Original due date: July 29, 2002					
22. Petition is hereby made to extend the <u>original</u> due date to			(1 mo) \$110/\$55 =	+0	115/215
cover the date this response is filed for which the requisite fee			(2mos) \$400/\$200 =		116/216
is attached			(3mos) \$920/\$460 =		117/217
			(4mos) \$1,440/\$720 =		118/218
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee			\$130	+0	156
24. If "assignment" box 6 is X'd, add recording fee.			\$40	+40	581
25. TOTAL FEE =			\$105		

PLEASE CHARGE DEPOSIT ACCOUNT

CHARGE Deposit Account No. 03-3975

Our Order No. 040432 0290641
C# M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

1600 Tysons Boulevard
McLean, VA 22102
Tel: (703) 905-2000

By Atty: Richard A. Steinberg Reg. No. 26,588
Sig: Richard A. Steinberg Fax: (703) 905-2500
Tel: (703) 905-2039

RAS/kmh

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

07/31/2002 LLANDGRA 00000059 033975 10069018

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UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/069,018	FIRST NAMED APPLICANT Malcolm Francis Graham Stevens	ATTY. DOCKET NO. P 0290641
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INTERNATIONAL APPLICATION NO. PCT/GB00/03210

I.A. FILING DATE 08/21/2000	PRIORITY DATE 08/20/1999
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00909
PILLSBURY WINTHROP, LLP
P.O. BOX 10500
MCLEAN, VA 22102

RECEIVED
PILLSBURY WINTHROP LLP/VA

JUN 03 2002

CL 40432 MT# 290641
ATTY(S) PhS
DUE: 7-29-02
DKT BY (1) DJW (2) US

Date Mailed: 05/29/2002

CONFIRMATION NO. 4515

371 FORMALITIES LETTER



OC000000008163042

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 305-3656

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/069,018	PCT/GB00/03210	P 0290641